

## TRANSMITTAL FORM

Application Serial Number 09/413,444

Filing Date October 6, 1999

First Named Inventor Albert

Group Art Unit 2873

Examiner Name E. Lester

Attorney Docket No. INK-069

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TECHNOLOGY CENTER 2800

### ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

- ☐ Check Attached  
☐ Copy of Fee  
Transmittal Form

☒ Amendment and Response  
(15 pages)

- ☐ After Final  
☐ Affidavits/declaration(s)  
☐ Letter to Official  
Draftsperson  
including Drawings  
[Total Sheets \_\_\_\_]

☐ Extension of Time Request

- ☐ Information Disclosure  
Statement  
☐ Form PTO-1449  
☐ Copies of IDS Citations

☐ Certified Copy of Priority  
Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Copy of Notice to File Missing  
Parts of Application (PTO-1553)

☐ Formal Drawing(s)

☐ Petition Routing Slip (PTO/SP/69)  
and Accompanying Petition to  
Convert to a Provisional  
Application

☐ Power of Attorney  
(Revocation of Prior Powers)

☐ Terminal Disclaimer

☐ Executed Declaration and Power of  
Attorney

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board  
of Patent Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Status Letter

☒ Return Receipt Postcard

☒ Certificate of First Class Mailing  
under 37 C.F.R. 1.8

☒ Additional Enclosure(s)  
(please identify below)

☒ Associate Power of Attorney (1 pg.)

☒ Limited Recognition under Rule  
10.9(b) (1 pg.)

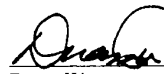
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### SIGNATURE BLOCK

Respectfully submitted,

Date: November 29, 2001  
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PATENT  
Attorney Docket No. INK-069  
TECHNOLOGY CENTER 2800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Albert et al.

SERIAL NUMBER: 09/413,444 ART UNIT: 2873

FILING DATE: October 6, 1999 EXAMINER: E. Lester

TITLE: Encapsulated Electrophoretic Displays Having a Monolayer of Capsules and Materials and Methods for Making the Same

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Box Non-Fee Amendment, Assistant Commissioner for Patents, Washington, DC 20231 on this 29<sup>th</sup> day of November, 2001.

  
Diane Racicot

Box Non-Fee Amendment  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 pg.);
2. Amendment and Response (15 pgs.);
3. Associate Power of Attorney (1 pg.);
4. Copy of "Limited Recognition Under 37 C.F.R. § 10.9(b)" (1 pg.);
5. and a mailroom postcard.